



PATENT
Customer No. 22,852
Attorney Docket No. 8386.0003-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Thomas V. RESSEMANN et al.) Group Art Unit: 3763
)
Application No.: 09/940,986) Examiner: Unknown
)
Filed: August 29, 2001)
)
For: EMBOLI PROTECTION DEVICES)
AND RELATED METHODS OF USE)

Commissioner for Patents and Trademarks
Washington, DC 20231

Sir:

RECEIVED
APR 30 2003
TECHNOLOGY CENTER R3700

#8

FIFTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. To the undersigned's knowledge, this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents were previously submitted in U.S. Patent Application 09/845,162, filed on May 1, 2001, upon which Applicants rely for the benefits provided in 35 U.S.C. § 120. Therefore, under the provisions of 37 C.F.R. §1.98(d), copies of the listed documents are not being submitted herewith. Should the Examiner for any reason need a copy of a listed document, the Examiner is invited to call the undersigned at 202-408-4488 and such a copy will be provided. Applicants

FINNEGAN
HENDERSON
FARABOW
GARRETT &
DUNNER LLP

1300 I Street, NW
Washington, DC 20005
202.408.4000
Fax 202.408.4400
www.finnegan.com

respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed documents are material or constitute "prior art." If the Examiner applies the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the U.S. Patent Office the relevant facts and law regarding the appropriate status of such documents.

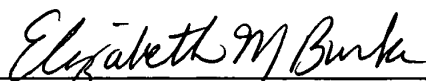
Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: April 28, 2003

By: 
Elizabeth M. Burke
Reg. No. 38,758

FINNEGAN
HENDERSON
FARABOW
GARRETT &
DUNNER LLP

1300 I Street, NW
Washington, DC 20005
202.408.4000
Fax 202.408.4400
www.finnegan.com



INFORMATION DISCLOSURE CITATION

Atty. Docket No.	8586.0003-01	Appln. No.	09/940,986
Applicant	Thomas V. RESSEMANN et al.		
Filing Date	August 29, 2001	Group:	3763

U.S. PATENT DOCUMENTS						
Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,219,355	06/15/93	Parodi et al.			
	5,250,060	10/05/93	Carbo et al.			
	5,533,987	07/09/96	Pray et al.			
	5,573,508	11/12/96	Thornton			
	5,643,208	07/01/97	Parodi			
	5,709,701	01/20/98	Parodi			
	5,797,949	08/25/98	Parodi			
	5,820,595	10/13/98	Parodi			
	5,868,706	02/09/99	Cox			
	5,891,090	04/06/99	Thornton			
	6,007,545	12/28/99	Venturelli			
	6,117,124	09/12/00	Parodi			
	6,159,195	12/12/00	Ha et al.			
	6,228,072 B1	05/08/01	Omaleki et al.			
	6,231,588 B1	05/15/01	Zadno-Azizi			
	6,270,477 B1	08/07/01	Bagaoisan et al.			
	6,312,407 B1	11/06/01	Zadno-Azizi et al.			
	6,413,235 B1	07/02/02	Parodi			
	6,423,032 B2	07/23/02	Parodi			
	6,454,741 B1	09/24/02	Muni et al.			
	6,497,670 B1	12/24/02	Parodi			

FOREIGN PATENT DOCUMENTS							
		Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
		WO 97/44082	11/27/97	PCT			
		WO 98/38930	09/11/98	PCT			



INFORMATION DISCLOSURE CITATION

OMB No. 0651-0011

Atty. Docket No.	8386.0003-01	Appln. No.	09/940,986
Applicant	Thomas V. RESSEMAN et al.		
Filing Date	August 29, 2001	Group:	3763

	WO 98/39046	09/11/98	PCT			
	WO 98/39047	09/11/98	PCT			
	WO 99/42059	08/26/99	PCT			
	WO 99/42157	08/26/99	PCT			
	WO 00/07657	02/17/00	PCT			
	WO 00/56391 A1	09/28/00	PCT			
	WO 00/76390 A2	12/21/00	PCT			
	WO 01/12104 A1	09/22/01	PCT			
	WO 01/45590 A2	06/28/01	PCT			
	WO 01/45592 A1	06/28/01	PCT			
	WO 01/58382 A2	08/16/01	PCT			
	WO 01/70326 A1	09/27/01	PCT			
	WO 01/91844 A1	12/06/01	PCT			
	WO 02/22199 A2	03/21/02	PCT			
	WO 02/32495 A1	04/25/02	PCT			
	WO 03/008015 A2	01/30/03	PCT			
	WO 03/009880 A2	02/06/03	PCT			

RECEIVED
APR 30 2003
TECHNOLOGY CENTER B3700

PUBLISHED U.S. PATENT APPLICATIONS						
Examiner Initial*	Document Number	Publn. Date	Name	Class	Sub Class	Filing Date If Appropriate
	2001/0044598 A1	11/2001	Parodi			
	2001/0047184 A1	11/2001	Connors, III			
	2002/0016564 A1	02/2002	Courtney et al.			
	2002/0016565 A1	02/2002	Zadno-Azizi et al.			
	2002/0026145 A1	02/2002	Bagaoisan et al.			
	2002/0029031 A1	03/2002	Bagaoisan et al.			
	2002/0035347 A1	03/2002	Bagaoisan et al.			
	2002/0052638 A1	05/2002	Zadno-Azizi			
	2002/0065507 A1	05/2002	Zadno-Azizi			



INFORMATION DISCLOSURE CITATION

OMB No. 0651-0011

Atty. Docket No.	8386.0003-01	Appln. No.	09/940,986			
Applicant	Thomas V. RESSEMANN et al.					
Filing Date	August 29, 2001	Group:	3763			
RECEIVED APR 30 2003 TECHNOLOGY CENTER R3700						
	2002/0087119 A1	07/2002	Parodi			
	2002/0091407 A1	07/2002	Zadno-Azizi et al.			
	2002/0107479 A1	08/2002	Bates et al.			
	2002/0151922 A1	10/2002	Hogendijk et al.			
	2002/0173815 A1	11/2002	Hogendijk et al.			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
Examiner			Date Considered			
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						
Form PTO 1449			Patent and Trademark Office - U.S. Department of Commerce			